



QP CODE: 22100118



22100118

Reg No :

Name :

**UNDER GRADUATE (CBCS) REGULAR / REAPPEARANCE EXAMINATIONS,
JANUARY 2022**

Fifth Semester

(Offered by the Board of Studies in Commerce)

**OPEN COURSE - CO5OPT02 - CAPITAL MARKET AND INVESTMENT
MANAGEMENT**

2017 Admission Onwards

92E40D34

Time: 3 Hours

Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Name secondary instruments.
2. What are the objectives of SEBI?
3. Write any recent events in Indian financial system.
4. Comment on ESOP.
5. Who are depositories?
6. What is margin trading?
7. What are the demerits of listing?
8. Name any five major Indexes of BSE.
9. What is a forward?
10. List the process of investment.
11. What do you mean by portfolio evaluation?
12. What do you mean gold investment?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*





13. Explain the role of RBI in money market.
14. Which are the different types of preference shares?
15. What are the functions of new issue market?
16. What are all the different types of ESOPs?
17. What are the different types of issue?
18. Briefly discuss the methods of trading in a stock exchange.
19. What do you mean by Swap? Explain the different kinds of Swap with examples.
20. What are economic forces?
21. Write short note on various investment avenues.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Briefly explain the government securities and its types.
23. Describe the different categories of members in a Stock Exchange.
24. Define investment and its concepts. Explain characteristics and objectives of investment.
25. What is life insurance? Explain the advantages of investing in life insurance. Also explain the schemes of LIC.

(2×15=30)

