



21101667

QP CODE: 21101667

Reg No :

Name :

**UNDER GRADUATE (CBCS) SPECIAL SUPPLEMENTARY EXAMINATION,
JULY 2021**

Fifth Semester

(Offered by the Board of Studies in Commerce)

**OPEN COURSE - CO5OPT02 - CAPITAL MARKET AND INVESTMENT
MANAGEMENT**

2018 Admission Only

E862DBB0

Time: 3 Hours

Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. What is mean by money market?
2. What is mean by bonds?
3. Write any recent events in Indian financial system.
4. What is a New Issue Market?
5. What is Lock in Period?
6. What do you mean by Cut-Off Option?
7. Who are Commission Brokers?
8. Who is a Lame Duck? Give an Example.
9. What do you mean by Commodity Futures?
10. How does inflation affect investment?
11. What is portfolio revision?
12. What is meant by Jeevan sarita?

(10×2=20)





Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Explain four major components of financial system .
14. Explain different types of debentures.
15. Discuss the Functions of the Merchant Banker in connection with the Public Issue.
16. What are the different Types of Issue?
17. Explain the different types of orders that can be placed in Stock Exchanges for trading shares.
18. Distinguish between NSDL and CDSL.
19. Discuss the different types of Options.
20. What are the objectives of investment?
21. How closed end fund differ from open end fund?

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Describe functions of SEBI .
23. What is 'Listing of Shares'? Explain the requirements for getting a company's share listed.
24. Define investment and its concepts. Explain characteristics and objectives of investment.
25. What are investment avenues? Explain investment alternatives.

(2×15=30)

