

QP CODE: 20100118



Reg No :

Name :

UNDERGRADUATE (CBCS) EXAMINATION, FEBRUARY 2020

Fifth Semester

(Offered by the Board of Studies in Commerce)

Open Course - CO5OPT02 - CAPITAL MARKET AND INVESTMENT MANAGEMENT

2017 Admission Onwards

FDA21A97

Time: 3 Hours

Maximum Marks :80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Write any four financial services.
2. Name any two capital market instruments.
3. Write any recent events in Indian financial system.
4. What is Sweat Equity?
5. What do you mean by Cut-Off Option?
6. Who are Depositories?
7. What do you mean by Listing of Securities?
8. What are Group A Shares?
9. What is Call Option? Give example.
10. What is fundamental analysis?
11. What is portfolio construction
12. What do you mean by Tax free funds?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. What are the advantages of G-secs?
14. List the functions of SEBI .





15. What are the functions of New Issue Market?
16. Distinguish between Primary and Secondary Market.
17. What are the different types of Speculators in Stock Exchanges?
18. What do you mean by Market Capitalisation? Elucidate with an example.
19. State the advantages and limitations of Forward Contracts.
20. What are the factors influencing investment decision?
21. Explain the features of public PF.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Explain money market instruments.
23. How trading takes place in Indian Stock Exchanges?
24. Define investment and its concepts. Explain characteristics and objectives of investment.
25. What are investment avenues? Explain investment alternatives.

(2×15=30)

